### 2011-2012 DRAFTING INSERT FROM THE LEGISLATIVE REFERENCE BUREAU

LRB-3302/2ins GMM...:..

#### (INSERT 4-9)

1	<b>SECTION 1.</b> 48.396 (2) (e) of the statutes is created to read:
2	48.396 (2) (e) Upon request of a court of criminal jurisdiction to review court
3	records for the purpose of conducting or preparing for a proceeding in that court or
1	upon request of a district attorney to review court records for the purpose of
5	performing his or her official duties in a proceeding in a court of criminal jurisdiction,
3	the court assigned to exercise jurisdiction under this chapter and ch. 938 shall open
7	for inspection by authorized representatives of the requester the records of the court
3)	relating to any child has been the subject of a proceeding under this chapter.
	(END OF INSERT)

# DRAFTER'S NOTE FROM THE LEGISLATIVE REFERENCE BUREAU

LRB-3302/2dn GMM.....

Representative Richards:

This redraft makes all of the following changes to the /1 version of the draft:

1. Requires, rather than permits, the juvenile court to transfer its electronic records as provided under the draft.

2. Requires records relating to a proceeding under ch. 48, i.e., a child in need or protection or services or termination of parental rights proceeding, to be transferred to a court of criminal jurisdiction and to a district attorney prosecuting a criminal case. The prior draft only permitted records relating to a proceeding under ch. 938, i.e., a delinquency proceeding, to be so transferred.

3. Eliminates a requirement that the director of state courts specify what types of information may be transferred under the draft.

> Gordon M. Malaise Senior Legislative Attorney Phone: (608) 266-9738

E-mail: gordon.malaise@legis.wisconsin.gov

# DRAFTER'S NOTE FROM THE LEGISLATIVE REFERENCE BUREAU

LRB-3302/2dn GMM:jld:jf

October 27, 2011

#### Representative Richards:

This redraft makes all of the following changes to the /1 version of the draft:

- 1. Requires, rather than permits, the juvenile court to transfer its electronic records as provided under the draft.
- 2. Requires records relating to a proceeding under ch. 48, *i.e.*, a child in need of protection or services or termination of parental rights proceeding, to be transferred to a court of criminal jurisdiction and to a district attorney prosecuting a criminal case. The prior draft only permitted records relating to a proceeding under ch. 938, *i.e.*, a delinquency proceeding, to be so transferred.
- 3. Eliminates a requirement that the director of state courts specify what types of information may be transferred under the draft.

Gordon M. Malaise
Senior Legislative Attorney

Phone: (608) 266-9738

E-mail: gordon.malaise@legis.wisconsin.gov

#### Malaise, Gordon

From:

Moran, Christian

Sent:

Tuesday, November 22, 2011 4:24 PM

To:

Malaise, Gordon

Subject:

redraft of LRB-3302/2 (juv records) and status check on serious juvenile offender draft

#### Gordon,

1. Please amend LRB-3302/2 on page 9, lines 4-13, to allow access only to "authorized representatives of the law enforcement agency." The intent of this change is to increase confidentiality of these records by only allowing access to certain authorized law enforcement officials—instead of everyone on the force. Is it possible to get the /3 by tomorrow or Monday, Nov. 28? Can you also add a brief d-note explaining the change?

2. On Nov. 14 we requested a bill to add Reckless Injury and Recklessly Endangering Safety to the crimes listed under 938.34(4h)(a) that make certain juveniles eligible for placement in the Serious Juvenile Offender Program (938.538). For our planning purposes, do you know when we might expect to see that draft?

Thanks for your help.

-Christian

Christian T. Moran
Office of Representative Jon Richards
State Capitol, 118 North
Madison, WI 53708
608-266-0650

#### Malaise, Gordon

From:

Malaise, Gordon

Sent:

Tuesday, November 22, 2011 4:51 PM

To: Cc: Moran, Christian Gallagher, Michael

Subject:

RE: redraft of LRB-3302/2 (juv records) and status check on serious juvenile offender draft

#### Christian:

I referred the SJOP draft to one of our new attorneys, Mike Gallagher, who is taking over from me the subject area of Juvenile Justice. Mike is out of the office this week, but will be back next Wednesday, November 30.

I can get the redraft of -3302 to you by Monday. I see that "authorized representatives of the requester" must have been an inadvertent omission from s. 938.396 (2g) (c) because every other exception under s. 938.396 (2g) contains that proviso.

#### Gordon

From:

Moran, Christian

Sent:

Tuesday, November 22, 2011 4:24 PM

To:

Malaise, Gordon

Subject:

redraft of LRB-3302/2 (juv records) and status check on serious juvenile offender draft

#### Gordon.

- 1. Please amend LRB-3302/2 on page 9, lines 4-13, to allow access only to "authorized representatives of the law enforcement agency." The intent of this change is to increase confidentiality of these records by only allowing access to certain authorized law enforcement officials—instead of everyone on the force. Is it possible to get the /3 by tomorrow or Monday, Nov. 28? Can you also add a brief d-note explaining the change?
- 2. On Nov. 14 we requested a bill to add Reckless Injury and Recklessly Endangering Safety to the crimes listed under 938.34(4h)(a) that make certain juveniles eligible for placement in the Serious Juvenile Offender Program (938.538). For our planning purposes, do you know when we might expect to see that draft?

Thanks for your help.

-Christian

Christian T. Moran
Office of Representative Jon Richards
State Capitol, 118 North
Madison, WI 53708
608-266-0650



# State of Misconsin 2011 - 2012 LEGISLATURE



# **2011 BILL**

DNOTE

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AN ACT to renumber and amend 48.396 (3) (c) 1.; to amend 48.396 (1), 48.396

(2) (a), 48.396 (3) (b), 48.396 (3) (c) 2., 48.396 (3) (c) 3., 48.396 (3) (d), 48.47 (7g),

48.78 (2) (a), 48.78 (2) (h), 938.396 (1) (a), 938.396 (2), 938.396 (2g) (c), 938.396

(2g)(d), 938.78(2)(a) and 938.78(2)(h); and  $\emph{to create}$  48.396(2)(e), 48.396(3)

(c) 1g., 48.396 (3) (c) 1m. and 938.396 (2m) of the statutes; **relating to:** the

disclosure of electronic juvenile court records to other juvenile courts,

municipal courts, courts of criminal jurisdiction, district attorneys and other

prosecutors, and law enforcement agencies and providing a penalty.

## Analysis by the Legislative Reference Bureau

Under current law, the director of state courts has established an automated information system, known as the Consolidated Court Automation Programs (CCAP), that contains information about cases filed in the circuit courts in this state, including cases filed in the courts assigned to exercise jurisdiction under the Juvenile Justice Code (juvenile courts).

Records of the juvenile court, however, are confidential and may not be opened to inspection, nor may their contents be disclosed, except under certain statutory exceptions or by an order of the juvenile court. Currently, those exceptions include an exception that requires a juvenile court to disclose its records relating to a

proceeding under the Children's Code (generally, a child in need of protection services or a termination of parental rights proceeding) or the Juvenile Justice Code (generally, a delinquency, civil law or ordinance violation, or a juvenile in need of protection or services proceeding) on the request of any other juvenile court, a municipal court, or a district attorney, corporation counsel, or city, village, or town attorney (prosecutor) to review juvenile court records for the purpose of any proceeding in that court. In addition, current law requires the juvenile court to disclose its records relating to a proceeding under the Juvenile Justice Code on the request of a court of criminal jurisdiction or a district attorney to review those records for the purpose of setting bail or impeaching a witness or on the request of a law enforcement agency to review those records for the purpose of investigating alleged criminal gang activity.

This bill requires the juvenile court to transfer information relating to proceedings under the Children's Code or the Juvenile Justice Code that is contained in the electronic records of the juvenile court to any other juvenile court, a municipal court, a court of criminal jurisdiction, or a prosecutor of a case in any of those courts. The bill also permits the juvenile court to transfer information relating to proceedings under the Juvenile Justice Code that is contained in the electronic records of the juvenile court to a law enforcement agency. A person to whom information is transferred under the bill must keep that information confidential and may use or allow access to that information only as follows:

- 1. In the case of a juvenile court, municipal court, or court of criminal jurisdiction or an individual who is allowed access to that information by such a court, only for the purpose of conducting or preparing for a proceeding in that court.
- 2. In the case of a prosecutor or an individual who is allowed access to that information by a prosecutor, only for the purpose of performing the prosecutor's official duties relating to a proceeding in a juvenile court, municipal court, or court of criminal jurisdiction.
- 3. In the case of a law enforcement agency or an individual who is allowed access to that information by a law enforcement agency, only for the purpose of investigating any alleged criminal activity or any activity that may result in a court exercising jurisdiction under the Children's Code or the Juvenile Justice Code.

The bill permits the director of state courts to use CCAP to facilitate the transfer of electronic records under the bill, and provides for a forfeiture of not more than \$5,000 for any person who intentionally discloses information in violation of the bill.

For further information see the **state and local** fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

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48.396 (1) Law enforcement officers' records of children shall be kept separate from records of adults. Law enforcement officers' records of the adult expectant mothers of unborn children shall be kept separate from records of other adults. Law enforcement officers' records of children and the adult expectant mothers of unborn children shall not be open to inspection or their contents disclosed except under sub. (1b), (1d), (5), or (6) or s. 48.293 or 938.396 (2m) (c) 1p. or by order of the court. This subsection does not apply to the representatives of newspapers or other reporters of news who wish to obtain information for the purpose of reporting news without revealing the identity of the child or adult expectant mother involved, to the confidential exchange of information between the police and officials of the public or private school attended by the child or other law enforcement or social welfare agencies, or to children 10 years of age or older who are subject to the jurisdiction of the court of criminal jurisdiction. A public school official who obtains information under this subsection shall keep the information confidential as required under s. 118.125, and a private school official who obtains information under this subsection shall keep the information confidential in the same manner as is required of a public school official under s. 118.125. This subsection does not apply to the confidential exchange of information between the police and officials of the tribal school attended by the child if the police determine that enforceable protections are provided by a tribal school policy or tribal law that requires tribal school officials to keep the information confidential in a manner at least as stringent as is required of a public school official under s. 118.125. A law enforcement agency that obtains information under this subsection shall keep the information confidential as required under this subsection and s. 938.396 (1) (a). A social welfare agency that obtains information

under this subsection shall keep the information confidential as required under ss. 48.78 and 938.78.

**SECTION 2.** 48.396 (2) (a) of the statutes is amended to read:

48.396 (2) (a) Records of the court assigned to exercise jurisdiction under this chapter and ch. 938 and of courts exercising jurisdiction under s. 48.16 shall be entered in books or deposited in files kept for that purpose only. They Those records shall not be open to inspection or their contents disclosed except by order of the court assigned to exercise jurisdiction under this chapter and ch. 938 or as required or permitted under this subsection, sub. (3) (b) or (c) 1. 1g., 1m., or 1r. or (6), or s. 48.375 (7) (e).

**SECTION 3.** 48.396 (2) (e) of the statutes is created to read:

48.396 (2) (e) Upon request of a court of criminal jurisdiction to review court records for the purpose of conducting or preparing for a proceeding in that court or upon request of a district attorney to review court records for the purpose of performing his or her official duties in a proceeding in a court of criminal jurisdiction, the court assigned to exercise jurisdiction under this chapter and ch. 938 shall open for inspection by authorized representatives of the requester the records of the court relating to any child who has been the subject of a proceeding under this chapter.

**SECTION 4.** 48.396 (3) (b) of the statutes is amended to read:

48.396 (3) (b) The court may shall transfer to the department information relating to proceedings under this chapter that is contained in the electronic records of the court to any other court assigned to exercise jurisdiction under this chapter and ch. 938, a municipal court exercising jurisdiction under s. 938.17 (2), a court of criminal jurisdiction, a person representing the interests of the public under s. 48.09 or 938.09, a district attorney prosecuting a criminal case, or the department,

regardless of whether that other court, municipal court, or person or the department is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created, and the. The director of state courts may use the circuit court automated information systems established under s. 758.19 (4) to facilitate the transfer of electronic records under this paragraph.

(bm) The department may transfer to the court information contained in the electronic records of the department that are maintained in the statewide automated child welfare information system under s. 48.47 (7g). The director of state courts may use the circuit court automated information systems established under s. 758.19 (4) to facilitate the transfer of those electronic records between from the department to the court and the department. The director of state courts and the department shall specify what types of information may be transferred from the department to the court under this paragraph and from the court to the department under par. (b).

SECTION 5. 48.396 (3) (c) 1. of the statutes is renumbered 48.396 (3) (c) 1r. and amended to read:

48.396 (3) (c) 1r. The department may allow access to shall keep any information transferred to the department under par. (b) confidential and may use or allow access to that information only for the purpose of providing services under s. 48.06, 48.067, 48.069, 938.06, 938,067 938.067, or 938.069. The department may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

**SECTION 6.** 48.396 (3) (c) 1g. of the statutes is created to read:

48.396 (3) (c) 1g. A court assigned to exercise jurisdiction under this chapter and ch. 938, a municipal court exercising jurisdiction under s. 938.17 (2), or a court

of criminal jurisdiction shall keep any information transferred to that court under par. (b) confidential and may use or allow access to that information only for the purpose of conducting or preparing for a proceeding in that court. That court may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

**SECTION 7.** 48.396 (3) (c) 1m. of the statutes is created to read:

48.396 (3) (c) 1m. A person representing the interests of the public under s. 48.09 or 938.09 or a district attorney prosecuting a criminal case shall keep any information transferred to that person under par. (b) confidential and may use or allow access to that information only for the purpose of performing his or her official duties relating to a proceeding in a court assigned to exercise jurisdiction under this chapter and ch. 938 or a municipal court. That person may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

**SECTION 8.** 48.396 (3) (c) 2. of the statutes is amended to read:

48.396 (3) (c) 2. The court or the director of state courts may allow access to any information transferred to the court under par. (b) (bm) only to the extent that the information may be disclosed under this chapter or ch. 938.

**SECTION 9.** 48.396 (3) (c) 3. of the statutes is amended to read:

48.396 (3) (c) 3. The department, a court, the director of state courts, and any An individual who is allowed under subd. 1g., 1m., 1r., or 2. to have access to any information transferred under par. (b) or (bm) shall keep the information confidential and may use and further disclose the information only for the purpose

purposes described in subd. 1. 1g., 1m., or 1r. or to the extent permitted under subd.

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**SECTION 10.** 48.396 (3) (d) of the statutes is amended to read:

48.396 (3) (d) Any person who intentionally <u>uses or</u> discloses information in violation of par. (c) may be required to forfeit not more than \$5,000.

**SECTION 11.** 48.47 (7g) of the statutes is amended to read:

48.47 (7g) Statewide automated Child Welfare information system. Establish a statewide automated child welfare information system. Notwithstanding ss. 46.2895 (9), 48.396 (1) and (2) (a), 48.78 (2) (a), 48.981 (7), 49.45 (4), 49.83, 51.30, 51.45 (14) (a), 55.22 (3), 146.82, 252.11 (7), 252.15, 253.07 (3) (c), 938.396 (1) (a) and (2), and 938.78 (2) (a), the department may enter the content of any record kept or information received by the department into the statewide automated child welfare information system, and a county department under s. 46.215, 46.22, or 46.23, the department, or any other organization that has entered into an information sharing and access agreement with the department or any of those county departments and that has been approved for access to the statewide automated child welfare information system by the department may have access to information that is maintained in that system, if necessary to enable the county department, department, or organization to perform its duties under this chapter, ch. 46, 51, 55, or 938, or 42 USC 670 to 679b or to coordinate the delivery of services under this chapter, ch. 46, 51, 55, or 938, or 42 USC 670 to 679b. The department may also transfer information that is maintained in the system to a court under s. 48.396 (3) (b) (bm), and the court and the director of state courts may allow access to that information as provided in s. 48.396 (3) (c) 2.

**SECTION 12.** 48.78 (2) (a) of the statutes is amended to read:

48.78 (2) (a) No agency may make available for inspection or disclose the contents of any record kept or information received about an individual who is or was in its care or legal custody, except as provided under s. 48.371, 48.38 (5) (b) or (d) or (5m) (d), 48.396 (3) (b) (bm) or (c) 1. 1r., 48.432, 48.433, 48.48 (17) (bm), 48.57 (2m), 48.93, 48.981 (7), 938.396 (2m) (c) 1r., 938.51, or 938.78 or by order of the court.

**SECTION 13.** 48.78 (2) (h) of the statutes is amended to read:

48.78 (2) (h) Paragraph (a) does not prohibit the department, a county department, or a licensed child welfare agency from entering the content of any record kept or information received by the department, county department, or licensed child welfare agency into the statewide automated child welfare information system established under s. 48.47 (7g) or the department from transferring any information maintained in that system to the court under s. 48.396 (3) (b) (bm). If the department transfers that information to the court, the court and the director of state courts may allow access to that information as provided in s. 48.396 (3) (c) 2.

**SECTION 14.** 938.396 (1) (a) of the statutes is amended to read:

938.396 (1) (a) Confidentiality. Law enforcement agency records of juveniles shall be kept separate from records of adults. Law enforcement agency records of juveniles may not be open to inspection or their contents disclosed except under par. (b) or (c), sub. (1j), (2m) (c) 1p., or (10), or s. 938.293 or by order of the court.

**Section 15.** 938.396 (2) of the statutes is amended to read:

938.396 (2) COURT RECORDS; CONFIDENTIALITY. Records of the court assigned to exercise jurisdiction under this chapter and ch. 48 and of municipal courts exercising jurisdiction under s. 938.17 (2) shall be entered in books or deposited in files kept for that purpose only. Those records shall not be open to inspection or their contents

2011 - 2012 Legislature -9
RILL , the court assigned to exercise jurisdiction under this SECTION 15

disclosed except by order of the court assigned to exercise jurisdiction under this

2 chapter and ch. 48 or as <u>required or</u> permitted under sub. (2g), (2m) (b) or (c), or (10) 3 or s. 48.396 (3) (b) or (c) 1.

and ch. 48 shall open for inspection

SECTION 16. 938.396 (2g) (c) of the statutes is amended to read:

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938.396 (2g) (c) Law enforcement agencies. Upon request of a law enforcement agency to review court records for the purpose of investigating a crime that might constitute criminal gang activity, as defined in s. 941.38 (1) (b), the court shall open for inspection by authorized representatives of the law enforcement agency the records of the court relating to any juvenile who has been found to have committed a delinquent act at the request of or for the benefit of a criminal gang, as defined in s. 939.22 (9), that would have been a felony under chs. 939 to 948 or 961 if committed by an adult alleged criminal activity or activity that may result in a court exercising jurisdiction under this chapter or ch. 48

**Section 17.** 938.396 (2g) (d) of the statutes is amended to read:

938.396 (2g) (d) Bail; impeachment; firearm possession Criminal and civil proceedings. Upon request of a court of criminal jurisdiction or a district attorney to review court records for the purpose of setting bail under ch. 969, impeaching a witness under s. 906.09, or investigating and determining whether a person has possessed a firearm in violation of s. 941.29 (2) or body armor in violation of s. 941.291 (2) to review court records for the purpose of conducting or preparing for a proceeding in that court, upon request of a district attorney to review court records for the purpose of performing his or her official duties in a proceeding in a court of criminal jurisdiction, or upon request of a court of civil jurisdiction or the attorney for a party to a proceeding in that court to review court records for the purpose of impeaching a witness under s. 906.09, the court assigned to exercise jurisdiction under this

chapter and ch. 48 shall open for inspection by authorized representatives of the requester the records of the court relating to any juvenile who has been the subject of a proceeding under this chapter.

**Section 18.** 938.396 (2m) of the statutes is created to read:

938.396 (2m) ELECTRONIC COURT RECORDS. (a) In this subsection, "court" means the court assigned to exercise jurisdiction under this chapter and ch. 48.

- (b) The court shall transfer information relating to a proceeding under this chapter that is contained in the electronic records of the court to any other court assigned to exercise jurisdiction under this chapter and ch. 48, a municipal court exercising jurisdiction under s. 938.17 (2), a court of criminal jurisdiction, a person representing the interests of the public under s. 48.09 or 938.09, a district attorney prosecuting a criminal case, a law enforcement agency, or the department, regardless of whether that other court, municipal court, person, district attorney, or law enforcement agency or the department is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created. The director of state courts may use the circuit court automated information systems established under s. 758.19 (4) to facilitate the transfer of electronic records under this paragraph.
- (c) 1g. A court assigned to exercise jurisdiction under this chapter and ch. 48, a municipal court exercising jurisdiction under s. 938.17 (2), or a court of criminal jurisdiction shall keep any information transferred to that court under par. (b) confidential and may use or allow access to that information only for the purpose of conducting or preparing for a proceeding in that court. That court may allow that access regardless of whether the person who is allowed that access is a party to or

is otherwise involved in the proceedings in which the electronic records containing that information were created.

1m. A person representing the interests of the public under s. 48.09 or 938.09 or a district attorney prosecuting a criminal case shall keep any information transferred to that person or district attorney under par. (b) confidential and may use or allow access to that information only for the purpose of performing his or her official duties relating to a proceeding in a court assigned to exercise jurisdiction under this chapter and ch. 48, a municipal court, or a court of criminal jurisdiction. That person or district attorney may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

1p. A law enforcement agency shall keep any information transferred to the law enforcement agency under par. (b) confidential and may use or allow access to that information only for the purpose of investigating alleged criminal activity or activity that may result in a court exercising jurisdiction under this chapter or ch. 48. A law enforcement agency may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

1r. The department shall keep any information transferred to the department under par. (b) confidential and may use or allow access to that information only for the purpose of providing services under s. 48.06, 48.067, 48.069, 938.06, 938.067, or 938.069. The department may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

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2. An individual who is allowed under subd. 1g., 1m., 1p., or 1r. to have access
to any information transferred under par. (b) shall keep the information confidential
and may use and further disclose the information only for the purpose described in
subd. 1g., 1m., 1p., or 1r.

(d) Any person who intentionally uses or discloses information in violation of par. (c) may be required to forfeit not more than \$5,000.

**SECTION 19.** 938.78 (2) (a) of the statutes is amended to read:

938.78 (2) (a) No agency may make available for inspection or disclose the contents of any record kept or information received about an individual who is or was in its care or legal custody, except as provided under sub. (3) or s. 48.396 (3) (b) (bm) or (c) 1. 1r., 938.371, 938.38 (5) (b) or (d) or (5m) (d), 938.396 (2m) (c) 1r., 938.51, or 938.57 (2m) or by order of the court.

**Section 20.** 938.78 (2) (h) of the statutes is amended to read:

938.78 (2) (h) Paragraph (a) does not prohibit the department of children and families, a county department, or a licensed child welfare agency from entering the content of any record kept or information received by that department, county department, or licensed child welfare agency into the statewide automated child welfare information system established under s. 48.47 (7g) or the department of children and families from transferring any information maintained in that system to the court under s. 48.396 (3) (b) (bm). If the department of children and families transfers that information to the court, the court and the director of state courts may allow access to that information as provided in s. 48.396 (3) (c) 2.

(END)

J DNV Research (608-266-0341)

Library (608-266-7040)

Legal (608-266-3561)

LRB

DNOTE
Representative Bichards:
This red (aft amends s. 938.396 (28) (c) to limit
inspection of juvenile court records (contherpor) to
authorized representatives of a low enforcement agency
for the purpose of mustigating alleged & commal or
delinquent activity or activity that might result in a
CHIPS or JIPS proceeding The amendment simply
(29) (2) (2) to the other exceptions
conforms 5. 938.396 (28)(c) to the other exceptions
to suvenile court record confidentiality found in 5. 138.396
(2g), all of which limit inspection to anthonized representatives
, all of the serial regions of the serial re
of the requesters
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# DRAFTER'S NOTE FROM THE LEGISLATIVE REFERENCE BUREAU

LRB-3302/3dn GMM:jld:lmp

November 28, 2011

#### Representative Richards:

This redraft amends s. 938.396 (2g) (c) to limit inspection of juvenile court records to *authorized representatives* of a law enforcement agency for the purpose of investigating alleged criminal or delinquent activity or activity that might result in a CHIPS or JIPS proceeding. The amendment simply conforms s. 938.396 (2g) (c) to the other exceptions to juvenile court record confidentiality found in s. 938.396 (2g), all of which limit inspection to authorized representatives of the requester.

Gordon M. Malaise Senior Legislative Attorney Phone: (608) 266–9738

E-mail: gordon.malaise@legis.wisconsin.gov

#### Malaise, Gordon

From: Moran, Christian

Sent: Wednesday, December 07, 2011 10:37 AM

To: Malaise, Gordon

Cc: 'jonrichards\_wi@yahoo.com'; 'Plant, Joel'; 'Perry, Terry'; Pierce, Peter; 'pvornh@milwaukee.gov'

Subject: juvenile records redraft request (LRB-3302/3)

Gordon,

Could you please redraft LRB-3302/3 to incorporate the suggestion below from Assistant District Attorney Pat Kenney of Milwaukee County. Our intent is to explicitly restrict access to medical or psychological records of children and parents subject to court orders under Chs.938 and 48.

Thanks for your help. Feel free to call if you have any questions.

-Christian

Christian T. Moran
Office of Representative Jon Richards
State Capitol, 118 North
Madison, WI 53708
608-266-0650

From: Kenney, Pat - DDA [mailto:Pat.Kenney@da.wi.gov]

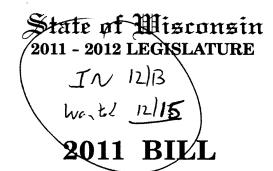
Sent: Wednesday, December 07, 2011 10:18 AM

**To:** Moran, Christian **Cc:** Sowinski, Mary

**Subject:** RE: Meeting request from Rep. Jon Richards (juvenile records)

Christian, I think a modification to the proposal in Section 16, 938.396 ( 2g ) ( c ) would work. I would suggest (although I don't claim to be good at drafting the best language) at the end of the paragraph: "except as provided under 938.396 (2g) ( c ) 1. Section 1. would read : "Medical records or mental health evaluations ordered by a court exercising jurisdiction under this chapter and Chapter 48 or filed with the a court exercising jurisdiction under this chapter or Chapter 48 shall not be released to representatives of a law enforcement agency except by order of the court." Thanks again.







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AN ACTIVE REGER

AN ACT to renumber and amend 48.396 (3) (c) 1.; to amend 48.396 (1), 48.396 (2) (a), 48.396 (3) (b), 48.396 (3) (c) 2., 48.396 (3) (c) 3., 48.396 (3) (d), 48.47 (7g),

48.78 (2) (a), 48.78 (2) (h), 938.396 (1) (a), 938.396 (2), 938.396 (2g) (c), 938.396

 $(2g)\ (d), 938.78\ (2)\ (a)\ and\ 938.78\ (2)\ (h);\ and\ \textit{to create}\ 48.396\ (2)\ (e), 48.396\ (3)$ 

(c) 1g., 48.396 (3) (c) 1m. and 938.396 (2m) of the statutes; **relating to:** the

disclosure of electronic juvenile court records to other juvenile courts,

municipal courts, courts of criminal jurisdiction, district attorneys and other

prosecutors, and law enforcement agencies and providing a penalty.

# Analysis by the Legislative Reference Bureau

Under current law, the director of state courts has established an automated information system, known as the Consolidated Court Automation Programs (CCAP), that contains information about cases filed in the circuit courts in this state, including cases filed in the courts assigned to exercise jurisdiction under the Juvenile Justice Code (juvenile courts).

Records of the juvenile court, however, are confidential and may not be opened to inspection, nor may their contents be disclosed, except under certain statutory exceptions or by an order of the juvenile court. Currently, those exceptions include an exception that requires a juvenile court to disclose its records relating to a

proceeding under the Children's Code (generally, a child in need of protection services or a termination of parental rights proceeding) or the Juvenile Justice Code (generally, a delinquency, civil law or ordinance violation, or a juvenile in need of protection or services proceeding) on the request of any other juvenile court, a municipal court, or a district attorney, corporation counsel, or city, village, or town attorney (prosecutor) to review juvenile court records for the purpose of any proceeding in that court. In addition, current law requires the juvenile court to disclose its records relating to a proceeding under the Juvenile Justice Code on the request of a court of criminal jurisdiction or a district attorney to review those records for the purpose of setting bail or impeaching a witness or on the request of a law enforcement agency to review those records for the purpose of investigating alleged criminal gang activity.

This bill requires the juvenile court to transfer information relating to proceedings under the Children's Code or the Juvenile Justice Code that is contained in the electronic records of the juvenile court to any other juvenile court, a municipal court, a court of criminal jurisdiction, or a prosecutor of a case in any of those courts. The bill also permits the juvenile court to transfer information relating to proceedings under the Juvenile Justice Code that is contained in the electronic records of the juvenile court to a law enforcement agency. A person to whom information is transferred under the bill must keep that information confidential and may use or allow access to that information only as follows:

- 1. In the case of a juvenile court, municipal court, or court of criminal jurisdiction or an individual who is allowed access to that information by such a court, only for the purpose of conducting or preparing for a proceeding in that court.
- 2. In the case of a prosecutor or an individual who is allowed access to that information by a prosecutor, only for the purpose of performing the prosecutor's official duties relating to a proceeding in a juvenile court, municipal court, or court of criminal jurisdiction.
- 3. In the case of a law enforcement agency or an individual who is allowed access to that information by a law enforcement agency, only for the purpose of investigating any alleged criminal activity or any activity that may result in a court exercising jurisdiction under the Children's Code or the Juvenile Justice Code.

The bill permits the director of state courts to use CCAP to facilitate the transfer of electronic records under the bill, and provides for a forfeiture of not more than \$5,000 for any person who intentionally discloses information in violation of the bill.

For further information see the **state and local** fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

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48.396 (1) Law enforcement officers' records of children shall be kept separate from records of adults. Law enforcement officers' records of the adult expectant mothers of unborn children shall be kept separate from records of other adults. Law enforcement officers' records of children and the adult expectant mothers of unborn children shall not be open to inspection or their contents disclosed except under sub. (1b), (1d), (5), or (6) or s. 48.293 or 938.396 (2m) (c) 1p. or by order of the court. This subsection does not apply to the representatives of newspapers or other reporters of news who wish to obtain information for the purpose of reporting news without revealing the identity of the child or adult expectant mother involved, to the confidential exchange of information between the police and officials of the public or private school attended by the child or other law enforcement or social welfare agencies, or to children 10 years of age or older who are subject to the jurisdiction of the court of criminal jurisdiction. A public school official who obtains information under this subsection shall keep the information confidential as required under s. 118.125, and a private school official who obtains information under this subsection shall keep the information confidential in the same manner as is required of a public school official under s. 118.125. This subsection does not apply to the confidential exchange of information between the police and officials of the tribal school attended by the child if the police determine that enforceable protections are provided by a tribal school policy or tribal law that requires tribal school officials to keep the information confidential in a manner at least as stringent as is required of a public school official under s. 118.125. A law enforcement agency that obtains information under this subsection shall keep the information confidential as required under this subsection and s. 938.396 (1) (a). A social welfare agency that obtains information

under this subsection shall keep the information confidential as required under ss. 48.78 and 938.78.

**SECTION 2.** 48.396 (2) (a) of the statutes is amended to read:

48.396 (2) (a) Records of the court assigned to exercise jurisdiction under this chapter and ch. 938 and of courts exercising jurisdiction under s. 48.16 shall be entered in books or deposited in files kept for that purpose only. They Those records shall not be open to inspection or their contents disclosed except by order of the court assigned to exercise jurisdiction under this chapter and ch. 938 or as required or permitted under this subsection, sub. (3) (b) or (c) 1. 1g., 1m., or 1r. or (6), or s. 48.375 (7) (e).

**SECTION 3.** 48.396 (2) (e) of the statutes is created to read:

48.396 (2) (e) Upon request of a court of criminal jurisdiction to review court records for the purpose of conducting or preparing for a proceeding in that court or upon request of a district attorney to review court records for the purpose of performing his or her official duties in a proceeding in a court of criminal jurisdiction, the court assigned to exercise jurisdiction under this chapter and ch. 938 shall open for inspection by authorized representatives of the requester the records of the court relating to any child who has been the subject of a proceeding under this chapter.

**SECTION 4.** 48.396 (3) (b) of the statutes is amended to read:

48.396 (3) (b) The court may shall transfer to the department information relating to proceedings under this chapter that is contained in the electronic records of the court to any other court assigned to exercise jurisdiction under this chapter and ch. 938, a municipal court exercising jurisdiction under s. 938.17 (2), a court of criminal jurisdiction, a person representing the interests of the public under s. 48.09 or 938.09, a district attorney prosecuting a criminal case, or the department,

regardless of whether that other court, municipal court, or person or the department is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created, and the. The director of state courts may use the circuit court automated information systems established under s. 758.19 (4) to facilitate the transfer of electronic records under this paragraph.

(bm) The department may transfer to the court information contained in the electronic records of the department that are maintained in the statewide automated child welfare information system under s. 48.47 (7g). The director of state courts may use the circuit court automated information systems established under s. 758.19 (4) to facilitate the transfer of those electronic records between from the department to the court and the department. The director of state courts and the department shall specify what types of information may be transferred from the department to the court under this paragraph and from the court to the department under par. (b).

**SECTION 5.** 48.396 (3) (c) 1. of the statutes is renumbered 48.396 (3) (c) 1r. and amended to read:

48.396 (3) (c) 1r. The department may allow access to shall keep any information transferred to the department under par. (b) confidential and may use or allow access to that information only for the purpose of providing services under s. 48.06, 48.067, 48.069, 938.06, 938,067 938.067, or 938.069. The department may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

**Section 6.** 48.396 (3) (c) 1g. of the statutes is created to read:

48.396 (3) (c) 1g. A court assigned to exercise jurisdiction under this chapter and ch. 938, a municipal court exercising jurisdiction under s. 938.17 (2), or a court

of criminal jurisdiction shall keep any information transferred to that court under par. (b) confidential and may use or allow access to that information only for the purpose of conducting or preparing for a proceeding in that court. That court may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

**Section 7.** 48.396 (3) (c) 1m. of the statutes is created to read:

48.396 (3) (c) 1m. A person representing the interests of the public under s. 48.09 or 938.09 or a district attorney prosecuting a criminal case shall keep any information transferred to that person under par. (b) confidential and may use or allow access to that information only for the purpose of performing his or her official duties relating to a proceeding in a court assigned to exercise jurisdiction under this chapter and ch. 938 or a municipal court. That person may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

**SECTION 8.** 48.396 (3) (c) 2. of the statutes is amended to read:

48.396 (3) (c) 2. The court or the director of state courts may allow access to any information transferred to the court under par. (b) (bm) only to the extent that the information may be disclosed under this chapter or ch. 938.

**SECTION 9.** 48.396 (3) (c) 3. of the statutes is amended to read:

48.396 (3) (c) 3. The department, a court, the director of state courts, and any An individual who is allowed under subd. 1g., 1m., 1r., or 2. to have access to any information transferred under par. (b) or (bm) shall keep the information confidential and may use and further disclose the information only for the purpose

1 <u>purposes</u> described in subd. 1. 1g., 1m., or 1r. or to the extent permitted under subd.

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**SECTION 10.** 48.396 (3) (d) of the statutes is amended to read:

48.396 (3) (d) Any person who intentionally uses or discloses information in violation of par. (c) may be required to forfeit not more than \$5,000.

**Section 11.** 48.47 (7g) of the statutes is amended to read:

48.47 (7g) STATEWIDE AUTOMATED CHILD WELFARE INFORMATION SYSTEM. Establish a statewide automated child welfare information system. Notwithstanding ss. 46.2895 (9), 48.396 (1) and (2) (a), 48.78 (2) (a), 48.981 (7), 49.45 (4), 49.83, 51.30, 51.45 (14) (a), 55.22 (3), 146.82, 252.11 (7), 252.15, 253.07 (3) (c), 938.396 (1) (a) and (2), and 938.78 (2) (a), the department may enter the content of any record kept or information received by the department into the statewide automated child welfare information system, and a county department under s. 46.215, 46.22, or 46.23, the department, or any other organization that has entered into an information sharing and access agreement with the department or any of those county departments and that has been approved for access to the statewide automated child welfare information system by the department may have access to information that is maintained in that system, if necessary to enable the county department, department, or organization to perform its duties under this chapter, ch. 46, 51, 55, or 938, or 42 USC 670 to 679b or to coordinate the delivery of services under this chapter, ch. 46, 51, 55, or 938, or 42 USC 670 to 679b. The department may also transfer information that is maintained in the system to a court under s. 48.396 (3) (b) (bm), and the court and the director of state courts may allow access to that information as provided in s. 48.396 (3) (c) 2.

**SECTION 12.** 48.78 (2) (a) of the statutes is amended to read:

48.78 (2) (a) No agency may make available for inspection or disclose the contents of any record kept or information received about an individual who is or was in its care or legal custody, except as provided under s. 48.371, 48.38 (5) (b) or (d) or (5m) (d), 48.396 (3) (b) (bm) or (c) 1. 1r., 48.432, 48.433, 48.48 (17) (bm), 48.57 (2m), 48.93, 48.981 (7), 938.396 (2m) (c) 1r., 938.51, or 938.78 or by order of the court.

**SECTION 13.** 48.78 (2) (h) of the statutes is amended to read:

48.78 (2) (h) Paragraph (a) does not prohibit the department, a county department, or a licensed child welfare agency from entering the content of any record kept or information received by the department, county department, or licensed child welfare agency into the statewide automated child welfare information system established under s. 48.47 (7g) or the department from transferring any information maintained in that system to the court under s. 48.396 (3) (b) (bm). If the department transfers that information to the court, the court and the director of state courts may allow access to that information as provided in s. 48.396 (3) (c) 2.

**Section 14.** 938.396 (1) (a) of the statutes is amended to read:

938.396 (1) (a) Confidentiality. Law enforcement agency records of juveniles shall be kept separate from records of adults. Law enforcement agency records of juveniles may not be open to inspection or their contents disclosed except under par. (b) or (c), sub. (1j), (2m) (c) 1p., or (10), or s. 938.293 or by order of the court.

**Section 15.** 938.396 (2) of the statutes is amended to read:

938.396 (2) COURT RECORDS; CONFIDENTIALITY. Records of the court assigned to exercise jurisdiction under this chapter and ch. 48 and of municipal courts exercising jurisdiction under s. 938.17 (2) shall be entered in books or deposited in files kept for that purpose only. Those records shall not be open to inspection or their contents

LRB-3302/3 GMM:jld:lmp SECTION 15

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disclosed except by order of the court assigned to exercise jurisdiction under this
chapter and ch. 48 or as <u>required or</u> permitted under sub. (2g), (2m) (b) or (c), or (10)
or s. 48.396 (3) (b) or (c) 1.

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**SECTION 16.** 938.396 (2g) (c) of the statutes is amended to read:

938.396 (2g) (c) Law enforcement agencies. Upon request of a law enforcement agency to review court records for the purpose of investigating a crime that might constitute criminal gang activity, as defined in s. 941.38 (1) (b), the court shall open for inspection by authorized representatives of the law enforcement agency the records of the court relating to any juvenile who has been found to have committed a delinquent act at the request of or for the benefit of a criminal gang, as defined in s. 939.22 (9), that would have been a felony under chs. 939 to 948 or 961 if committed by an adult alleged criminal activity or activity that may result in a court exercising jurisdiction under this chapter or ch. 48, the court assigned to exercise jurisdiction under this chapter and ch. 48 shall open for inspection by authorized representatives of the requester the records of the court relating to any juvenile who has been the subject of a proceeding under this chapter

**SECTION 17.** 938.396 (2g) (d) of the statutes is amended to read:

938.396 (2g) (d) Bail; impeachment; firearm possession Criminal and civil proceedings. Upon request of a court of criminal jurisdiction or a district attorney to review court records for the purpose of setting bail under ch. 969, impeaching a witness under s. 906.09, or investigating and determining whether a person has possessed a firearm in violation of s. 941.29(2) or body armor in violation of s. 941.291 (2) to review court records for the purpose of conducting or preparing for a proceeding in that court, upon request of a district attorney to review court records for the purpose of performing his or her official duties in a proceeding in a court of criminal

jurisdiction, or upon request of a court of civil jurisdiction or the attorney for a party to a proceeding in that court to review court records for the purpose of impeaching a witness under s. 906.09, the court assigned to exercise jurisdiction under this chapter and ch. 48 shall open for inspection by authorized representatives of the requester the records of the court relating to any juvenile who has been the subject of a proceeding under this chapter.

**SECTION 18.** 938.396 (2m) of the statutes is created to read:

938.396 (2m) ELECTRONIC COURT RECORDS. (a) In this subsection, "court" means the court assigned to exercise jurisdiction under this chapter and ch. 48.

- (b) The court shall transfer information relating to a proceeding under this chapter that is contained in the electronic records of the court to any other court assigned to exercise jurisdiction under this chapter and ch. 48, a municipal court exercising jurisdiction under s. 938.17 (2), a court of criminal jurisdiction, a person representing the interests of the public under s. 48.09 or 938.09, a district attorney prosecuting a criminal case, a law enforcement agency, or the department, regardless of whether that other court, municipal court, person, district attorney, or law enforcement agency or the department is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created. The director of state courts may use the circuit court automated information systems established under s. 758.19 (4) to facilitate the transfer of electronic records under this paragraph.
- (c) 1g. A court assigned to exercise jurisdiction under this chapter and ch. 48, a municipal court exercising jurisdiction under s. 938.17 (2), or a court of criminal jurisdiction shall keep any information transferred to that court under par. (b) confidential and may use or allow access to that information only for the purpose of

conducting or preparing for a proceeding in that court. That court may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

1m. A person representing the interests of the public under s. 48.09 or 938.09 or a district attorney prosecuting a criminal case shall keep any information transferred to that person or district attorney under par. (b) confidential and may use or allow access to that information only for the purpose of performing his or her official duties relating to a proceeding in a court assigned to exercise jurisdiction under this chapter and ch. 48, a municipal court, or a court of criminal jurisdiction. That person or district attorney may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

1p. A law enforcement agency shall keep any information transferred to the law enforcement agency under par. (b) confidential and may use or allow access to that information only for the purpose of investigating alleged criminal activity or activity that may result in a court exercising jurisdiction under this chapter or ch. 48. A law enforcement agency may allow that access regardless of whether the person who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.

1r. The department shall keep any information transferred to the department under par. (b) confidential and may use or allow access to that information only for the purpose of providing services under s. 48.06, 48.067, 48.069, 938.06, 938.067, or 938.069. The department may allow that access regardless of whether the person

- who is allowed that access is a party to or is otherwise involved in the proceedings in which the electronic records containing that information were created.
- 2. An individual who is allowed under subd. 1g., 1m., 1p., or 1r. to have access to any information transferred under par. (b) shall keep the information confidential and may use and further disclose the information only for the purpose described in subd. 1g., 1m., 1p., or 1r.
- (d) Any person who intentionally uses or discloses information in violation of par. (c) may be required to forfeit not more than \$5,000.
  - **SECTION 19.** 938.78 (2) (a) of the statutes is amended to read:
- 938.78 (2) (a) No agency may make available for inspection or disclose the contents of any record kept or information received about an individual who is or was in its care or legal custody, except as provided under sub. (3) or s. 48.396 (3) (b) (bm) or (c) 1. 1r., 938.371, 938.38 (5) (b) or (d) or (5m) (d), 938.396 (2m) (c) 1r., 938.51, or 938.57 (2m) or by order of the court.
  - **SECTION 20.** 938.78 (2) (h) of the statutes is amended to read:
- 938.78 (2) (h) Paragraph (a) does not prohibit the department of children and families, a county department, or a licensed child welfare agency from entering the content of any record kept or information received by that department, county department, or licensed child welfare agency into the statewide automated child welfare information system established under s. 48.47 (7g) or the department of children and families from transferring any information maintained in that system to the court under s. 48.396 (3) (b) (bm). If the department of children and families transfers that information to the court, the court and the director of state courts may allow access to that information as provided in s. 48.396 (3) (c) 2.

### 2011-2012 DRAFTING INSERT FROM THE LEGISLATIVE REFERENCE BUREAU

LRB-3302/4ins GMM...:..

#### (INSERT 9-16)

1	. This paragraph does not authorize disclosure of any information relating to
2	the physical or mental health of an individual, including information contained in
3	a patient health care record, as defined in s. 146.81 (4), a treatment record, as defined
4	in s. 51.30 (1) (b), a report resulting from an examination or assessment under s.
5	938.295, a court report under s. 938.33, or a permanency plan under s. 938.38, except
6	with the informed consent of a person authorized to consent to that disclosure, by
7	order of the court, or as otherwise permitted by law
	(FND OF INCEPT)

(END OF INSERT)

#### Godwin, Gigi

From:

Moran, Christian

Sent:

Monday, January 23, 2012 11:11 AM

To:

LRB.Legal

Cc:

Carpenter, Dan

Subject:

bill jacket request from Rep. Richards: LRB-3302-4

Please jacket LRB-3302-4 for introduction in the Assembly by Rep. Richards.

This draft relates to: the disclosure of electronic juvenile court records to other juvenile courts, municipal courts, courts of criminal jurisdiction, district attorneys and other prosecutors, and law enforcement agencies and providing a penalty.

Thanks for your help.

Christian T. Moran
Office of Representative Jon Richards
State Capitol, 118 North
Madison, WI 53708
608-266-0650